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Date

May 26, 2004

To

Attn: Petitions Office, USPTO

**Phone** 

Fax

703-872-9306

From

James David Jacobs

Writer's Phone

+1 212 891 3951

Writer's Fax

+1 212 310 1651

Client/Matter No.

56104576-56

Re

Application no. 09/701,818

Pages (w/cover)

44

Please find attached a copy of the Petition to Revive, Fee Transmittal, and accompanying documents as submitted February 5, 2004. The Petition Decision indicates that the petition fee was not with the petition papers. However, on the Petition for Revival, we checked the boxes indicating that the petition fee for small entity of \$665 was enclosed, and, on the Fee Transmittal we authorized the USPTO to charge ANY additional fees to our deposit account. Reversal of the petition decision is therefore requested.

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# JUN 0 1 2004

# CAFICE OF PETATON'S

#### **PATENTS**

To: Commissioner of Patents & Trademarks	Atty. Docket No. <u>56104576-56</u>
Serial/Patent No. 09/701,818	Date Mailed2/5/2004
File Date2/20/2001	Attorney JDJ: ahf
Inventor: Dennis Brian Rylatt	
Title: PURIFICATION OF ANTIBODIES	
The U.S. Patent & Trademark Office stamp herein Continuation X Application (Utility X or Design)	
Declaration and Power  X Transmittal Letter Fee - in duplicate  x Small Entity Form claimed  Assignment  Response to Office Action  Request for Extension of Time  X Petition to Revive 37 CFR 1.137(b)  X Other: Power of Attorney; 37 CFR 3.7  Sheet; postcard.	Amendmentpreliminary IDS & Citation in Application Form Certified Copy of Priority Document Issue Fee Transmittal Form(s) Check(s) \$
_ , , , , , , ,	
Express mail label no.: EJL2290	43P402
To: Commissioner of Fatchis & Trade  Serial/Patent No. 09/701,818  Pile Date 2/20/2001  Att  Inventor: Dennis Brian Rylatt	ry. Docket No. <u>56104576-56</u> te Mailed <u>2/5/2004</u> torney <u>JDJ:ahf</u>
Title: PURIFICATION OF ANTIBODIES	
The U.S. Patent & Trademark Office stamp herein ackno  Continuation Application (Utility X or Design)  Declaration and Power	onse to Missing Pages  Onse to Missing Page  Citation in Application Form  fied Copy of Priority Designation  Fee Transmittal Form(s)  k(s) \$
	2118
Express mail label no.: EJL2290936	



**2**004



MAY 2 6 2004

# JUN 0 1 2004

C.FICE OF PERIODICS

PTO/SB/96 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Bection of Information unless it displays a valid CMB

Patent and Trademark Office; U.S. DEPARTMENT OF COMMET Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control num
STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Gradipore Limited
Application No./Patent No.: 09/701,818 Filed/Issue Date: 12/1/2000
Entitled: PURIFICATION OF ANTIBODIES
Gradipore Limited, a an Australian Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government egency, etc.)
states that it is:
1. the assignee of the entire right, title, and interest; or
2.  an assignee of an undivided part interest
in the patent application/patent identified above by virtue of either:
A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel013445Frame 0026, or for which a copy thereof is attached.
OR .
B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From:
The document was recorded in the Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.
2. From: To: To:
The document was recorded in the Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
3. From:To:
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
[ ] Additional documents in the chain of title are listed on a supplemental sheet.
[ ] Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
6-3-03
Date Signature
TIM WAWN
Typed or printed name
CHIEF OPERATING OFFICER
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# JUN 0 1 2004

		C. FICE OF PETMICSIO				
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DIRECT BUSINESS ACT OF 1995. NO DESCRIPTION	Application Number	aroundation unless it displays a valid OMB control number.				
TRANSMITTAL	Filing Date	09/701,818				
FORM	First Named Inventor	2/20/2001				
(to be used for all correspondence after initial filing)	Art Unit	Dennis Brian Rylatt				
and the second second second second	Examiner Name					
		James Grunn				
Total Number of Pages in This Submission	Attorney Docket Number	56104578-58				
ENCL	OSURES (Check all that					
	Prawing(s)	After Allowance communication to Technology Center (TC) Appeal Communication to Board				
	•	of Appeals and Interferences				
Preliminary	etition etition to Convert to a	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final P	rovisional Application	Proprietary Information				
Affidavits/declaration(s)	ower of Attorney, Revocation hange of Correspondence Addres	ss Status Letter				
	erminal Disclaimer	Other Enclosure(s) (please				
Express Abandonment Request Re	equest for Refund	Identify below):  Utility patent app. transmittal; 37 CFR 3.73b Statement; Application Data Sheet; postcard;				
	[ — ]					
Certified Copy of Priority Remarks		specification.				
Document(s)		ľ				
Response to Missing Parts/						
Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1,53		i				
ander 57 GFR 1.52 df 1.53						
SIGNATURE OF	APPLICANT, ATTORNEY	, OR AGENT				
James David Jacobs, Esq. (Reg. no. 2	24,299)					
Signature	71/8	<u> </u>				
Date Jan	o Pro	>				
February 5, 2004						
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	mrrostoner for Larb	NTG				
David Jacobs, Esq. (Re		ress mail label no: EJ622909369US.				
	()	1				
Signature Large Large	weel /	Date 2/5/2004				
This collection of information by required by 37 CFR 1.5. The inform process) an application. Confidentiality is governed by 35 U.S.C. 1: gathering, preparing, and submitting the completed application for amount of time you require to complete this form and/or suggestion Trademark Office. 1/25. Department of Commerce, P.O. Box 1450/ADDRESS. SENT TO: Commissioner for Patents, P.O. Box	ylo the USPTO. Time will vary depend is for reducing this burden, should be a	penefit by the public which is to file (and by the USPTO to estimated to 2 hours to complete, including ting upon the individual case. Any comments on the sant to the Chief information Center of the sant to the complete Center of the sant to the				

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.



JUN 0 1 2004

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED Docket Number (Optional) **UNINTENTIONALLY UNDER 37 CFR 1.137(b)** 56104576-56 First named inventor: Dennis Brian Rylatt Application No.: 09/701.818 Art Unit: Unknown 2/20/2001 Filed: Examiner: James Grunn Title: PURIFICATION OF ANTIBODIES Attention: Office of Petitions **Mail Stop Petition Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 FAX: (703) 872-9306 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9282. The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus an extensions of time actually obtained. APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION NOTE: A grantable petition requires the following items: (1) Petition fee: (2) Reply and/or issue fee: (3) Terminal disclaimer with disclaimer fee -required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional. 1. Petition fee X Small entity-fee \$ 665 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27. Other than small entity - fee \$ \_\_\_\_\_(37 CFR 1.17(m)) 2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of \_\_ a continuation application (identify type of reply): has been filed previously on is enclosed herewith. B. The issue fee of \$\_

[Page 1 of 2]

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

has been paid previously on is enclosed herewith.

PTO/SB/64 (11-0:3)

Approved for use 07/31/2008. OMB 0651-003 1

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC E

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3. Terminal disclaimer with disclaimer fee						
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.						
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).						
4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE. The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D))].						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
February 5, 2004						
Date Signature						
Telephone 212-751-5700 James David Jacobs (Reg. no. 24,299)						
Typed of printed name						
Baker & McKenzie 805 Third Avenue						
Address						
Enclosures: X Fee Payment New York, NY 10022						
X Reply Address						
☐ Terminal Disclaimer Form						
Additional sheets containing statements establishing unintentional delay						
Other:						
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]						
I hereby certify that this correspondence is being:						
Deposited with the United States Postal Service on the date shown below as Express Mail  Post Office to Addressee service under 37 CFR 1.10, addressed to:  Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450,  Mail Stop Petition. Express mail label no.: EJ622909369US.  transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.						
February \$ 2004 Date Signature						
James David Jacobs, Esq.  Type or printed name of person signing certificate						
Page 2 of 21						

PTO/SB/17 (10-03)

Under the Paperwork Reduction Act of 1995, no persons are requi	ired to r	espono	U.S.	Patent election	and T	Approved for use through 07/31/2006. C rademark Office; U.S. DEPARTMENT O formation unless it displays a valid OMB of			
			Complete if Known						
FEE TRANSMITTAL		App	lication	Num	ber	TBA			
for FY 2004		Filin	g Date			2/5/2004			
Effective 10/01/2003. Petent fees are subject to annual revision.		First Named Inventor		entor	Dennis Brian Rylatt				
X Applicant claims small entity status. See 37 CFR 1.27		Exa	miner t	Vame		ТВА			
						TBA	TBA		
TOTAL AMOUNT OF PAYMENT (\$) 753		Attorney Docket No. 56104576-112				56104576-112			
METHOD OF PAYMENT (check all that apply)				FE	E C	ALCULATION (continued)			
Check Credit card Money Order None	3. ADDITIONAL FEES								
Y Deposit Account:	<u>Large</u> Fee	Fee	Smal Fee	Fee Fee	Y				
Deposit Account 02-0393	Code		Code	(\$)		Fee Description	Fee Paid		
Number Deposit	1051		2051			harge - late filing fee or oath			
Account Baker & McKenzie	1052	50	2052	25		harge - late provisional filing fee or r sheet			
The Director is authorized to: (check all that apply)	1053	130	1053			English specification	<del></del>		
Charge fee(s) indicated below Credit any overpayments	1804	2,520 920	1812			ling a request for ex parte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)  Charge fee(s) indicated below, except for the filing fee		Examiner				sesting publication of SIR prior to niner action			
o the above-identified deposit account.	1805	1,840	1805	1,840*	Requ Exam	uesting publication of SIR after niner action			
FEE CALCULATION	1251	110	2251	55	Exte	nsion for reply within first month			
. BASIC FILING FEE	1252	420	2252	210		nsion for reply within second month			
arge Entity Small Entity Fee Fee Fee Fee Paid  Fee Paid	1253	950 1,480	2253 2254			nsion for reply within third month			
Code (\$) Code (\$)		2,010	2255	740		nsion for reply within fourth month			
1001 770 2001 385 Utility filing fee 385 1002 340 2002 170 Design filing fee	1401	330	2401			nsion for reply within fifth month			
003 530 2003 265 Plant filing fee	1402	330	2402			ce of Appeal g a brief in support of an appeal			
004 770 2004 385 Reissue filing fee	1403	290	2403			g a pries in support of an appeal est for oral hearing			
005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petiti	on to institute a public use proceeding			
SUBTOTAL (1) (\$) 385	1452	110	2452	55	Petiti	on to revive - unavoldable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	-	2453		•	ion to revive - unintentional			
Fee from Ext <u>ra Claims below</u> Fee Paid	1501 1502	1,330 480	2501 2502			/ issue fee (or reissue)			
Total Claims 37 -20** = 17 X 9 = 153	1502	640	2502		_	gn Issue fee t issue fee			
Claims	1460	130	1460			ons to the Commissioner			
Multiple Dependent	1807	50	1807			essing fee under 37 CFR 1.17(q)			
arge Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806			ission of Information Disclosure Strat			
Code (\$) Code (\$)	8021	40	8021	40	Recor	rding each patent assignment per rty (times number of properties)			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing	a submission after final rejection			
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810		(37 C	FR 1.129(a))			
1204 86 2204 43 ** Reissue independent claims		,,,	2010		exam	ach additional invention to be ined (37 CFR 1.129(b))			
over original patent	1801	770	2801			est for Continued Examination (RCE)			
1205 18 2205 9 ** Relssue daims in excess of 20 and over original patent	1802	900	1802	900	Requ	uest for expedited examination lesign application			
SUBTOTAL (2) (\$) 368	SUBTOTAL (2) (\$) 368 Other fee (specify)								
**or number previously paid, if greater, For Relssues, see above	*Redu	ed by	Basic F	iling Fe	e Paid	SUBTOTAL (3) (\$)			

(Complete (If applicable)) Name (Print/Type) Registration No. Telephone 212-751-5700 Signature

Withing: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of Information is required by 37 of R 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confiderfielity is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## JUN 0 1 2004

PTC/SB/05 (01-04) Approved for use through 07/31/2006. OMB 0651-0032

Under the	he Paperwork Redu	iction Act of 1995, no persons are	required to	respond to a	offection of in	formation unles	e. U.S. DEPAF s il displavs a v	RTMENT OF COMMERC		
		JTILITY			Docket No.	4		Card Sale Control Humber		
ł	PATENT APPLICATION			First Invi	entor	Dennis	Dennis Brian Rylatt			
	TRANSMITTAL		•				CATION OF			
(Only f	(Only for new nonprovisional applications under 37 CFR 1.53(b))			Express	Mail Label N	vo. m.E.	1622	9093690		
See MPE	APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450						
1. Fee Transmittal Form (e.g., PTC/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27.  3. Specification [Total Pages 17] (preferred errangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Ctaim(s)  - Abstract of the Disclosure				7. CD-ROM or CD-R in dupticate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS						
4. Drawing(s) (35 U.S.C. 113) [Total Sheets			9. Assignment Papers (cover sheet & document(s)) 10. 2 37 CFR 3.73(b) Statement Power of (when there is an assignee) 11. English Transtation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. 2 Preliminary Amendment 14. 2 Return Receipt Postcard (MPEP 503) (Should be specifically Itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:							
specification fo	INUING APPLIC allowing the title,	ATION, check appropriate bo or in an Application Data She	ox, and supplet under 37	oly the requi	site informat	tion below and	in the first s	entence of the		
Continuation  Divisional  Continuation-in-part (CIP)  of prior application No.:09/7.01.818  Prior application information:  Examiner James Grunn  Art Unit: Unknown  For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
☑ Custon	<del></del>			ENCE AD						
Custon	ner Number:	26453	3		OR	Corres	oondence ad	dress below		
Name	James David Ja									
Address	Baker & McKen 805 Third Avenu		<del></del>							
City	New York			State NY			Zip Code	Longo		
Country	United States of	America	Tel		2-751-5700		Fax	10022		
Name (Print/Ty	pe) James Dev	id Jacobs, Esq.	] (		No (Attorn	ev/Agent)		212-769-9133		
Signature	a	we ATA		X			1,299 Date 2/5/	2004		
This collection of	information is requ	ired by 37 CFR 1.53(b). The int	formation is	required to ot	tain or retain	a benefit by th	e public utrich	lo de elle de elle		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) on application. Confidentiality is governed by 35 U.S.Ø. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including galhering, preparing, and submitting the completed application form to the USPTO. Three will vary depending upon the individual case. Any comments on the amount of title year require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **APPLICATION DATA SHEET**

#### **Application Information**

Application Type::

Regular

Subject Matter::

Utility

Title::

**PURIFICATION OF ANTIBODIES** 

Request for Early Publication?::

No

Request for Non-Publication?::

No

**Total Drawing Sheets::** 

· 3

Small Entity?::

Yes

Petition included?::

Yes

Petition Type::

168

Attorney Docket Number::

Petition to Revive (37 CFR 1.137(b))

56104576-112

Suggested Fig. for Publication::

Fig 1

## **Applicant Information**

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Australia

Status::

**Full Capacity** 

Given Name::

Dennis Brian

Family Name::

Rylatt

City of Residence::

Ryde

State or Province of Residence::

New South Wales

Country of Residence::

Australia

Street of mailing address::

10 Stuart Street

City of mailing Address::

Ryde

State or Province of mailing address::

**New South Wales** 

Country of mailing address::

Australia

Postal or Zip Code of mailing address::

2122

Inventor

Applicant Authority Type::

Primary Citizenship Country:: Australia

Status:: Full Capacity

Given Name:: Sharon

Family Name:: Lim

City of Residence:: Surry Hills

State or Province of Residence:: New South Wales

Country of Residence:: Australia

Street of mailing address:: 28/61-89 Buckingham Street

City of mailing Address:: Surry Hills

State or Province of mailing address:: New South Wales

Country of mailing address:: Australia

Postal or Zip Code of mailing address:: 2010

**Correspondence Information** 

Correspondence Customer Number:: 26453

Representative Information

Name:: Registration No.::

James David Jacobs 24299

Eunhee Park 42976

Frank M. Gasparo 44700

ank w. Gasparo 4470

**Domestic Priority Information** 

Application:: Continuity Type:: Parent Parent Filing

Application:: Date::

This Application Continuation of 09/701,818 02/20/2001

09/701,818 National Stage of PCT/AU99/00424 06/02/1999

## **Foreign Priority Information**

Country::

Application

Filing Date::

**Priority Claimed::** 

... Australia number:: PP 3855

06/02/1998

YES

### **Assignment Information**

Assignee Name::

**Gradipore Limited** 

Street of mailing address::

22 Rodborough Road

City of mailing address::

Frenchs Forest

State or Province of mailing address::

**New South Wales** 

Country of mailing address::

Australia

Postal or Zip Code of mailing address::

2086

# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of: Rylatt et al.

Art Unit:

TBA

Serial No.:

TBA

Examiner:

**TBA** 

Filed: Customer No:

February 5, 2004

Date:

February 5, 2004

26453

Confirmation No.: TBA

For:

PURIFICATION OF ANTIBODIES

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 -Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT

SIR:

Please amend the application filed herewith as follows.

Amendments to the Claims begin on page 2.

Remarks begin on page 11.

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Date of Deposit:/February 5, 2004; Express Manualel number: EJ622909369US.

James David Jacobs (Reg. 1

**PATENT** 

## Amendments to the Claims:

Please cancel claims 1-21.

Please add claims 22-58. (Claims added have been renumbered consecutively following the highest numbered original claims.)

## **Listing of Claims:**

#### 1.-21. (Canceled)

- 22. (New) A method for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant, the method comprising:
- (a) directing a first fluid stream having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, so as to flow along a first selective membrane, wherein such pH is selected such that contaminants with an isoelectric point lower than the isoelectric point of the at least one antibody will have a net charge;
- (b) directing a second fluid stream along the first selective membrane so as to be isolated from the first fluid stream thereby;
- (c) applying at least one selected electric potential across at least the first and second fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid stream; and

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- (d) maintaining step (c) until at least one of the fluid streams contains the desired purity of the at least one antibody.
- 23. (New) The method according to claim 22 wherein the mixture is comprised of monoclonal antibodies in ascitic fluid.
- 24. (New) The method according to claim 22 wherein the first selective membrane has a molecular mass cut-off between about 50 kDa to about 150 kDa.
- 25. (New) The method according to claim 24 wherein the first selective membrane has a molecular mass cut-off of about 100 kDa.
- 26. (New) The method according to claim 22 wherein the pH of the first fluid stream is between about 7.5 to about 9.5.
- 27. (New) The method according to claim 22 wherein the method further comprises periodically stopping and reversing the at least one selected electric potential to cause movement of at least any components in the first fluid stream having entered the first selective membrane to move back into the first fluid stream and wherein substantially not causing any components which have entered the second fluid stream to re-enter the first fluid stream.
- 28. (New) The method according to claim 22 wherein the yield of the at least one antibody is at least about 70%.
- 29. (New) The method according to claim 22 wherein the yield of the at least one antibody is at least about 90%.
  - 30. (New) The method according to claim 22 wherein the method further comprises
- (e) recovering the at least one antibody isolated from the mixture from at least one of the first and second fluid streams;

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- (f) providing the at least one antibody into a third fluid stream having a selected pH and directing the third fluid stream so as to flow along a second selective membrane, wherein the pH is selected such that it is within about 1 pH unit of the at least one antibody;
- (g) directing a fourth fluid stream along the second selective membrane so as to be isolated from the third fluid stream thereby;
- (h) applying at least one selected electric potential across at least the third and fourth fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and other components in the third fluid stream through the second selective membrane while at least a portion of the other of the at least one antibody and other components in the third fluid stream is prevented from entering the second fluid stream; and
- (i) maintaining step (h) until at least one of the fluid streams contains the desired purity of the at least one antibody.
- 31. (New) The method according to claim 30 wherein the second selective membrane has a larger molecular mass cut-off than the first selective membrane.
- 32. (New) The method according to claim 30 wherein the molecular mass cut-off of the second selective membrane is at least about 200 kDa.
- 33. (New) The method according to claim 30 wherein the molecular mass cut-off of the second selective membrane is about 1000 kDa.
- 34. (New) The method according to claim 30 wherein the pH of the third fluid stream is from about 6 to about 8.
- 35. (New) The method according to claim 30 wherein the pH of the third fluid stream is within 0.5 pH units of the at least one antibody.
- 36. (New) The method according to claim 30 wherein the yield of the at least one antibody is at least about 70%.

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- 37. (New) The method according to claim 30 wherein the yield of the at least one antibody is at least about 90%.
- 38. (New) The method according to claim 30 wherein the method further comprises periodically stopping and reversing the at least one selected electric potential to cause movement of at least any components in the third fluid stream having entered the second selective membrane to move back into the third fluid stream and wherein substantially not causing any components which have entered the fourth fluid stream to re-enter the third fluid stream.
- 39. (New) A method for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant comprising:
- (a) directing a first fluid stream having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, so as to flow along a first selective membrane, wherein such pH is that it is within about 1 pH unit of the at least one antibody;
- (b) directing a second fluid stream along the first selective membrane so as to be isolated from the first fluid stream thereby;
- (c) applying at least one selected electric potential across at least the first and second fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid stream; and
- (d) maintaining step (c) until at least one of the fluid streams contains the desired purity of the at least one antibody.
- 40. (New) The method according to claim 39 wherein the mixture is comprised of monoclonal antibodies in ascitic fluid.

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- 41. (New) The method according to claim 39 wherein the molecular mass cut-off of the first selective membrane is at least about 200 kDa.
- 42. (New) The method according to claim 39 wherein the molecular mass cut-off of the first selective membrane is about 1000 kDa.
- 43. (New) The method according to claim 39 wherein the pH of the first fluid stream is from about 6 to about 8.
- 44. (New) The method according to claim 39 wherein the pH of the first fluid stream is within 0.5 pH units of the at least one antibody.
- 45. (New) The method according to claim 39 wherein the yield of the at least one antibody is at least about 70%.
- 46. (New) The method according to claim 39 wherein the yield of the at least one antibody is at least about 90%.
- 47. (New) The method according to claim 39 wherein the method further comprises periodically stopping and reversing the at least one selected electric potential to cause movement of at least any components in the third fluid stream having entered the second selective membrane to move back into the third fluid stream and wherein substantially not causing any components which have entered the fourth fluid stream to re-enter the third fluid stream.
- 48. (New) A system for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant comprising:

means adapted for directing a first fluid stream having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, so as to flow along a first selective membrane, wherein such pH is selected such that contaminants with an isoelectric point lower than the isoelectric point of the at least one antibody will have a net charge;

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means adapted for directing a second fluid stream along the first selective membrane so as to be isolated from the first fluid stream thereby; and

means adapted for applying at least one selected electric potential across at least the first and second fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid stream.

49. (New) The system according to claim 48 wherein the system further comprises: means adapted for recovering the at least one antibody isolated from the mixture from at least one of the first and second fluid streams;

means adapted for providing the at least one antibody into a third fluid stream having a selected pH and directing the third fluid stream so as to flow along a second selective membrane, wherein the pH is selected such that it is within about 1 pH unit of the at least one antibody;

means adapted for directing a fourth fluid stream along the second selective membrane so as to be isolated from the third fluid stream thereby; and

means adapted for applying at least one selected electric potential across at least the third and fourth fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and other components in the third fluid stream through the second selective membrane while at least a portion of the other of the at least one antibody and other components in the third fluid stream is prevented from entering the second fluid stream.

50. (New) A system for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant comprising:

means adapted for directing a first fluid stream having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, so as to flow along a first selective membrane, wherein such pH is that it is within about 1 pH unit of the at least one antibody;







means adapted for directing a second fluid stream along the first selective membrane so as to be isolated from the first fluid stream thereby; and

means adapted for applying at least one selected electric potential across at least the first and second fluid streams, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid stream.

- 51. (New) A method for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant, the method comprising:
- (a) communicating a first fluid volume having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, along a first selective membrane, wherein such pH is selected such that contaminants with an isoelectric point lower than the isoelectric point of the at least one antibody will have a net charge;
- (b) communicating a second fluid volume along the first selective membrane so as to be isolated from the first fluid volume thereby;
- (c) applying at least one selected electric potential across at least the first and second fluid volumes, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid volume; and
- (d) maintaining step (c) until at least one of the fluid volumes contains the desired purity of the at least one antibody.
- 52. (New) A method for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant comprising:
- (a) communicating a first fluid volume having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, along a first selective membrane, wherein such pH is that it is within about 1 pH unit of the at least one antibody:

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- (b) communicating a second fluid volume along the first selective membrane so as to be isolated from the first fluid volume thereby;
- (c) applying at least one selected electric potential across at least the first and second fluid volumes, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid volume; and
- (d) maintaining step (c) until at least one of the fluid volumes contains the desired purity of the at least one antibody.
- 53. (New) A system for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant, the method comprising:

means adapted for communicating a first fluid volume having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, along a first selective membrane, wherein such pH is selected such that contaminants with an isoelectric point lower than the isoelectric point of the at least one antibody will have a net charge;

means adapted for communicating a second fluid volume along the first selective membrane so as to be isolated from the first fluid volume thereby; and

means adapted for applying at least one selected electric potential across at least the first and second fluid volumes, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid volume one of the fluid volumes contains the desired purity of the at least one antibody.

54. (New) A system for isolating at least one antibody from a mixture containing the at least one antibody and at least one contaminant comprising:

means adapted for communicating a first fluid volume having a selected pH and including the mixture containing at least one antibody and the at least one contaminant, along a

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first selective membrane, wherein such pH is that it is within about 1 pH unit of the at least one antibody;

means adapted for communicating a second fluid volume along the first selective membrane so as to be isolated from the first fluid volume thereby; and

means adapted for applying at least one selected electric potential across at least the first and second fluid volumes, wherein the application of the at least one selected electric potential causes migration of at least a portion of a selected one of the at least one antibody and the at least one contaminant through the first selective membrane while at least a portion of the other of the at least one antibody and the at least one contaminant is prevented from entering the second fluid volume.

- 55. (New) An antibody purified by the method according to claim 22.
- 56. (New) The antibody according to claim 55 wherein the antibody is a monoclonal antibody.
  - 57. (New) An antibody purified by the method according to claim 30.
- 58. (New) The antibody according to claim 57 wherein the antibody is a monoclonal antibody.

### **REMARKS**

This preliminary amendment is submitted to amend the format of the claims to current U.S. claiming conventions. The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account No. 02-0393 of Baker & McKenzie.

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Respectfully submitted,

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